JAN 07 2004
PATENT & TRADEMARK OFFICE

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED
JAN 14 2004
TC 1700

In application of: Reid et al.

Attorney Docket No.: NOVLP020

Application No.: 09/927,741

Examiner: Wesley A. Nicholas

Filed: August 10, 2001

Group: 1742

Title: Improved Clamshell Apparatus for Electrochemically Treating Wafers

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on January 07, 2004 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number **EV332823647US**, addressed to the Mail Stop RCE, Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.

Signed: Ryan Eachus

Ryan Eachus

## AMENDMENT TRANSMITTAL

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is an Amendment in the above-identified application.
The fee has been calculated as shown below.

| | Claims After Amendment | | Highest Previously Paid For | Present Extra | Small Entity Rate Fee | Large Entity Rate Fee |
|---|---|---|---|---|---|---|
| Total Claims | 47 | MINUS | 40 | 7 | x 9 = | x 18 =126.00 |
| Independent Claims | 5 | MINUS | 3 | 2 | x 42 = | x 86 = 172.00 |
| Multiple Dependent Claim Present and Fee Not Previously Paid | | | | | $140.00 | $280.00 |
| | | | | Total | $ | $298.00 |

☐ Applicant(s) hereby petition for a _____ month extension(s) of time to respond to the aforementioned Office Action.

☒ Applicant(s) believe that no (additional) Extension of Time is required; however, if it is determined that such an extension is required, Applicant(s) hereby petition that such an extension be granted and authorize the Commissioner to charge the required fees for an Extension of Time under 37 CFR 1.136 to Deposit Account No. 500388.

☒ Enclosed is our Check No. 8466 in the amount of $1,068.00 to cover the additional claim fee and RCE filing fee.

☒ Please charge the required fees, or any additional fees required to facilitate filing the enclosed response, to Deposit Account No. 500388 (Order No. NOVLP020).

Respectfully submitted,
BEYER WEAVER & THOMAS, LLP

Roger S. Sampson
Reg. No. 44,314

P.O. Box 778
Berkeley, CA 94704-0778

OIPE JC95 JAN 07 2004 PATENT & TRADEMARK OFFICE

JAN 09 2004

RCE/1700

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re application of: Reid et al.

Attorney Docket No.: NOVLP026

Application No.: 09/927,741

Examiner: Wesley A. Nicolas

Filed: August 10, 2001

Group: 1742

Title: Improved Clamshell Apparatus for Electrochemically Treating Wafers

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Signed: Ryan Eachus

Ryan Eachus

## REQUEST FOR CONTINUED EXAMINATION (RCE) (37 CFR §1.114)

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

This is a Request for Continued Examination (RCE) of the above-identified application.

***NOTE**: If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. §1.53(d) instead of an RCE to be eligible for the patent term adjustment provisions of the AIPA.*

1. **Submission required under 37 C.F.R. §1.114:**

a. ☐ Previously submitted
   i. ☐ Consider the amendment/reply under 37 C.F.R. §1.116 previously filed on _____.
   *(Any unentered amendment referred to above will be entered.)*
   ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____.
   iii. ☐ Other _____.

b. ☒ Enclosed
   i. ☒ Amendment/Reply
   ii. ☐ Affidavit/Declaration
   iii. ☐ Information Disclosure Statement with Form PTO-1449
   ☐ Copies of IDS Citations
   iv. ☐ Other _____.

01/12/2004 JBALINAN 00000010 09927741
01 FC:1801 770.00 OP

2. **Fees**: *(The RCE fee is required at the time the RCE is filed.)*

Fee Calculation (37 CFR §1.16)

| | | |
|---|---|---|
| Fee for Request for Continued Examination Under 37 C.F.R. §1.17(e) | $770 = | 770.00 |
| TOTAL | | 770.00 |
| SMALL ENTITY 50% FILING FEE REDUCTION (if applicable) | | |

☐ a. Applicant hereby petitions for a month extension of time.

☒ b. Applicant believes that no (additional) extension of time is required; however, if it is determined that such an extension is required, Applicant hereby petitions that such an extension be granted and authorizes the Director to charge the required fees for an extension of time under 37 CFR §1.136 to Deposit Account No. 500388.

☒ c. Enclosed is our Check No. 8466 in the amount of $1,068.00 to cover the RCE fee, extension of time and additional fees.

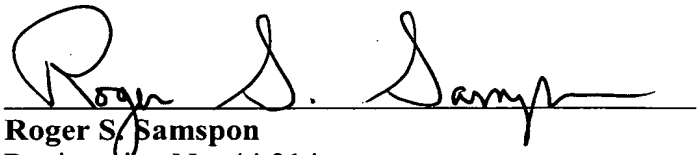
☒ d. The Director is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 500388 (Order No. NOVLP020)

3. ☒ Please continue to send correspondence to the following address:

**Customer Number 022434**

022434

Date: January 7, 2004



**Roger S. Samspon**
Registration No. 44,314

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# *IN THE UNITED STATES PATENT AND TRADEMARK OFFICE*

In re application of: Reid et al.

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Signed: Ryan Eachus

Ryan Eachus

## AMENDMENT B

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In response to the final Office Action dated October 7, 2003 ("Office Action"), please amend the above-identified patent application as follows:

A **Listing of Claims** begins on page 2 of this paper.

A **Remarks/Arguments** section begins on page 8 of this paper.

01/12/2004 JBALINAN 00000011 09927741

01 FC:1201 172.00 OP
02 FC:1202 126.00 OP